

APR 01 2002
 Inventor(s): SHIBATA
 Appl. No. 09
 Filed: January 28, 2000
 Hon. Commissioner of Patents
 Washington, D.C. 20231

493,819
 Serial No.

APR -3 2002

TC 2800 MAIL ROOM

Group Art Unit 2814
 Examiner: Wille, Douglas A.
 Atty. Dkt. P 266204 T36-119817M/KOH
 M# Client Ref
 Appln. Title: GROUP III NITRIDE COMPOUND
 SEMICONDUCTOR DEVICE

Sir:

REPLY/AMENDMENT/LETTER

Date: April 1, 2002

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

FEE REQUIREMENTS FOR CLAIMS AS AMENDED

1. Small Entity claim

- A. ☒ NOT made
 B. ☐ Withdrawn
 C. ☐ made herewith
 D. ☐ made previously

For B & C
 See **Required
 Separate Paper**
 (Pat-256)

	Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	5	**minus 20	0	x \$18/\$9 =	+ \$0	103/203
3. Independent Claims	2	***minus 3	0	x \$84/\$42 =	+ \$0	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) add				+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: February 26, 2002	<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) (2 mos) (3 mos) (Usable only for ≤ 2mo.OA --- 4 mos) (Usable only for 30 day/1mo.OA --- 5 mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720= \$1,960/\$980=	+ \$400			115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract	- \$0					
8. Extension Fee Attached	+ \$400					
9. If Terminal Disclaimer attached, add Rule 20(d) official fee	+ \$110/\$55 + \$0 148/248					
10. If IDS attached requires Official Fee under Rule 97 (c), or if Rule 97(d) Request	+ \$180 + \$0 126 126					
11. After-Final Request Fee per rules 129(a) and 17(r)	+ \$740/370 + \$0 146/246					
12. No. of additional inventions for examination per Rule 129(b)	x \$740/370 ea + \$0 149/249					
13. Request for Continued Examination (RCE)	+ \$740/370 + \$740 1179/1279					
14. Petition fee for	+ \$0					
15. TOTAL FEE ENCLOSED =	\$1140					

15.

16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 51273 266204

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

04/02/2002 CNGUYEN 00000048 09493819

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

02 FC:116

400.00 DP Pillsbury Winthrop LLP

Intellectual Property Group

1600 Tysons Boulevard

McLean, VA 22102

Tel: (703) 905-2000

By Atty: John P. Darling

Sig:

Reg. No. 44,482

Fax: (703) 905-2500

Tel: (703) 905-2045

Atty/Sec: JPD/tmt

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments

APR 01 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): SHIBATA

Appln. No.: 09 493,819

Series Code ↑ Serial No. ↑

Filed: January 28, 2000

Title: GROUP III NITRIDE COMPOUND
SEMICONDUCTOR DEVICE

Group Art Unit 2814

Examiner: Wille, Douglas A.

Atty. Dkt. PM 266204

T36-119817M/KOH

M#

Client Ref

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R C E
EDO NOT USE FOR PROVISIONAL,
DIVISIONAL, CIP OR DESIGN
APPLICATIONS, OR REEXAMINATION OF
PATENTSHon. Commissioner of Patents
Washington, D.C. 20231

Date: April 1, 2002

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

Please continue the examination of this application.

PREREQUISITESThis application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee **has not been** paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).**Prosecution has been closed as defined in Rule 114(b).****Reply to any outstanding action and Rule 17(e) filing fee must be enclosed**

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed February 26, 2002
2. ☐ The enclosed new Amendment
3. ☐ Consider the arguments in the appeal brief filed ___ and reply brief filed
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement

☐ IDS Letter

☐ Cited Appln

☐ Foreign Search Report/OA

☐ PTO-1449

☐ Cited Documents
6. ☐ Please suspend action under Rule 103(c) for a period of ___ months (3 mos. Max) for which the required \$130 fee is enclosed
7. The required RCE filing fee of \$740/\$370 is attached (per PAT-120)

(Our Deposit Account No. 03-3975)

(Our Order No. 51273 / 266204)

C#

M#

NOTE: Enter Rule 17(e) filing fee on PAT-120 for it**Cannot be deferred!****NO CLAIMS FEE REQUIRED**

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This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Pillsbury Winthrop LLP

04/02/2002 CNGUYEN 00000048 09493819

Intellectual Property Group

01 FC:179

740.00 0P

1600 Tysons Boulevard
McLean, VA 22102

By Atty: John P. Darling

Reg. No. 44,482

Sig:

Fax: (703) 905-2500

(703) 905-2000

Tel: (703) 905-2045

Atty/Sec: JPD/tmt

NOTE: File this Request (plus enclosures, if any) with cover sheet (PAT-120) in duplicate and with PTO receipt (PAT-103A)

30275074_1.DOC

PAT-252 10/01